

Intellectual Property Regulation Board - Regulatory performance assessment

REGULATORY APPROACH					AUTHORISATION					SUPERVISION				ENFORCEMENT						WELL-LED											
1	2	3	4	5	1	2	3	4	5	1	2	3	4	1	2	3	4	5	6	1	2	3	4	5	6						

Met		Not met – action being taken		Not met – action required	
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Action being taken

Outcome	A5: The regulator's list of those they regulate is accessible, accurate and provides information on the disciplinary records of those regulated.
LSB Assessment	The register does not include disciplinary information. We understand reserves are in place for a new register database and a new database and register will be commissioned in 2019.
Action	IPReg to ensure that the register of those they regulate is easily accessible and contains information on all disciplinary action taken against the individual or entity. This will be achieved through implementation of a new register by September 2019.
Timing	IPReg complete implementation of new register by September 2019. LSB review progress in May 2019.
Outcome	WL3: The regulator is transparent about its own: decision-making; regulatory approach; the risks it and its regulated community faces and how these are being mitigated; performance; regulated community and related markets; financial costs.
LSB Assessment	IPReg does not publish board papers or operational performance information.

Action	<p>(1) IPReg to review current publication policy and ensure that the supporting evidence for decisions taken by the Board or Executive which impact on the regulated community are published as soon as possible after decisions are taken. This should include Board papers which should only be withheld or with content removed in limited circumstances.</p> <p>(2) IPReg to review the transparency of its performance information (e.g. KPIs and performance reports, complaints about the regulator etc.) and that this information should be published as soon as possible after the Board consideration.</p>
Timing	Work to be completed by May 2019 when the LSB will review progress.
Outcome	WL5: The Board considers its own effectiveness in ensuring the regulator is a well-led, independent, transparent and consumer focused organisation, which acts in a way that is compatible with the regulatory objectives.
LSB Assessment	A review of IPReg's current processes to review and appraise the board's governance approach is being completed.
Action	IPReg to complete the above review and confirm the revised appraisal process for its Board by May 2019.
Timing	LSB will review progress in May 2019.