

Total CILEx Group Draft 2017 Budget - Individual Regulation

	Draft Forecast 2016					Draft Budget 2017					2016 - 2017 Variance				
	Regulatory Activities	Other Permitted Purposes	Total Permitted Purposes	Other Activities	Total Draft Budget	Regulatory Activities	Other Permitted Purposes	Total Permitted Purposes	Other Activities	Total 2016/Draft Budget	Regulatory Activities	Other Permitted Purposes	Total Permitted Purposes	Other Activities	Total
	£'s	£'s	£'s	£'s	£'s	£'s	£'s	£'s	£'s	£'s	£'s	£'s	£'s	£'s	£'s
Income:															
PCF Income	1,957,958	295,042	2,253,000		2,253,000	1,796,912	538,272	2,335,184	0	2,335,184	(161,046)	243,230	82,184	0	82,184
Membership Income			0	1,795,000	1,795,000	0	0	0	1,810,913	1,810,913	0	0	0	15,913	15,913
Awarding Body Income		0	0	300,000	300,000	0	0	0	303,000	303,000	0	0	0	3,000	3,000
Assessment Income		848,000	848,000	0	848,000	0	893,897	893,897	0	893,897	0	45,897	45,897	0	45,897
Other	145,130		145,130	4,309,868	4,454,998	194,020	0	194,020	4,479,972	4,673,992	48,890	0	48,890	170,104	218,994
Total Income	2,103,088	1,143,042	3,246,130	6,404,868	9,650,998	1,990,932	1,432,169	3,423,101	6,593,885	10,016,986	(112,156)	289,127	176,971	189,017	365,988
Expenditure:															
CILEx Reg Direct Expend.	1,470,811		1,470,811		1,470,811	1,427,861	0	1,427,861	0	1,427,861	42,950	0	42,950	0	42,950
LSB/OLC Levies	184,000		184,000		184,000	130,304	0	130,304	0	130,304	53,696	0	53,696	0	53,696
Subtotal	1,654,811	0	1,654,811	0	1,654,811	1,558,165	0	1,558,165	0	1,558,165	96,646	0	96,646	0	96,646
CILEx departments expenditure:															
Sales & Development	126,874	186,727	313,601	555,129	868,730	120,788	177,771	298,559	607,561	906,120	6,086	8,956	15,042	(52,432)	(37,390)
Education	0	1,191,225	1,191,225	518,018	1,709,243	0	950,503	950,503	569,045	1,519,548	0	240,722	240,722	(51,027)	189,695
Journal (now in Comms)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Policy & Governance	50,328	49,039	99,367	211,507	310,874	46,598	45,405	92,003	225,128	317,131	3,730	3,634	7,364	(13,621)	(6,257)
Communications	24,405	54,254	78,660	675,404	754,064	30,850	68,582	99,432	981,481	1,080,913	(6,445)	(14,328)	(20,772)	(306,076)	(326,849)
Central Resources	246,670	363,047	609,717	300,084	909,801	234,531	345,181	579,712	327,997	907,709	12,139	17,866	30,005	(27,913)	2,092
Subtotal	448,277	1,844,293	2,292,570	2,260,142	4,552,712	432,767	1,587,442	2,020,209	2,711,211	4,731,420	15,510	256,851	272,361	(451,069)	(178,708)
Other expenditure	0	0	0	3,708,058	3,708,058	0	0	0	3,712,519	3,712,519	0	0	0	(4,461)	(4,461)
Total CILEx Group Expenditure	2,103,088	1,844,293	3,947,381	5,968,200	9,915,581	1,990,932	1,587,442	3,578,374	6,423,730	10,002,104	112,156	256,851	369,007	(455,530)	(86,523)
Net Surplus/(Deficit)	(0)	(701,251)	(701,252)	436,669	(264,583)	0	(155,273)	(155,273)	170,155	14,882	0	545,978	545,979	(266,514)	279,465
Group Income	2,103,088	1,143,042	3,246,130	6,404,868	9,650,998	1,990,932	1,432,169	3,423,101	6,593,885	10,016,986	(112,156)	289,127	176,971	189,017	365,988
Group Net Expenditure	2,103,088	1,844,293	3,947,381	5,968,200	9,915,581	1,990,932	1,587,442	3,578,374	6,423,730	10,002,104	112,156	256,851	369,007	(455,530)	(86,523)
Group Surplus/(Deficit)	(0)	(701,251)	(701,252)	436,669	(264,583)	0	(155,273)	(155,273)	170,155	14,882	0	545,978	545,979	(266,514)	279,465

Total CILEx Group Draft 2017 Budget - Individual Regulation 3 Year Forecast

	Draft Budget 2017					Draft Forecast 2018					Draft Forecast 2019				
	Regulatory Activities	Other Permitted Purposes	Total Permitted Purposes	Other Activities	Total 2017 Draft Budget	Regulatory Activities	Other Permitted Purposes	Total Permitted Purposes	Other Activities	Total 2018 Draft Forecast	Regulatory Activities	Other Permitted Purposes	Total Permitted Purposes	Other Activities	Total 2019 Draft Forecast
	£'s	£'s	£'s	£'s	£'s	£'s	£'s	£'s	£'s	£'s	£'s	£'s	£'s	£'s	£'s
Income:															
PCF Income	1,796,912	538,272	2,335,184	0	2,335,184	1,810,770	524,414	2,335,184	0	2,335,184	1,825,391	509,793	2,335,184	0	2,335,184
Membership Income	0	0	0	1,810,913	1,810,913	0	0	0	1,847,131	1,847,131	0	0	0	1,884,074	1,884,074
Awarding Body Income	0	0	0	303,000	303,000	0	0	0	309,060	309,060	0	0	0	315,241	315,241
Assessment Income	0	893,897	893,897	0	893,897	0	911,775	911,775	0	911,775	0	930,010	930,010	0	930,010
Other	194,020	0	194,020	4,479,972	4,673,992	197,900	0	197,900	4,591,971	4,789,872	201,858	0	201,858	4,706,771	4,908,629
Total Income	1,990,932	1,432,169	3,423,101	6,593,885	10,016,986	2,008,670	1,436,189	3,444,859	6,748,163	10,193,022	2,027,249	1,439,803	3,467,053	6,906,086	10,373,139
Expenditure:															
CILEx Reg Direct Expend.	1,427,861	0	1,427,861	0	1,427,861	1,456,418	0	1,456,418	0	1,456,418	1,485,547	0	1,485,547	0	1,485,547
LSB/OLC Levies	130,304	0	130,304	0	130,304	130,304	0	130,304	0	130,304	130,304	0	130,304	0	130,304
Subtotal	1,558,165	0	1,558,165	0	1,558,165	1,586,722	0	1,586,722	0	1,586,722	1,615,851	0	1,615,851	0	1,615,851
CILEx departments expenditure:															
Sales & Development	120,788	177,771	298,559	607,561	906,120	117,768	173,327	291,095	622,750	913,845	114,824	168,994	283,818	638,319	922,137
Education	0	950,503	950,503	569,045	1,519,548	0	926,740	926,740	583,271	1,510,011	0	933,572	933,572	567,852	1,501,424
Journal (now in Comms)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Policy & Governance	46,598	45,405	92,003	225,128	317,131	45,433	44,270	89,703	230,756	320,459	44,297	43,163	87,460	236,525	323,985
Communications	30,850	68,582	99,432	981,481	1,080,913	30,079	66,867	96,946	956,018	1,052,964	29,327	65,196	94,523	929,918	1,024,441
Central Resources	234,531	345,181	579,712	327,997	907,709	228,668	301,551	530,219	371,197	901,416	222,951	230,013	452,964	444,477	897,440
Subtotal	432,767	1,587,442	2,020,209	2,711,211	4,731,420	421,948	1,512,756	1,934,704	2,763,991	4,698,695	411,399	1,440,937	1,852,336	2,817,091	4,669,427
Other expenditure	0	0	0	3,712,519	3,712,519	0	0	0	3,805,332	3,805,332	0	0	0	3,900,465	3,900,465
Total CILEx Group Expenditure	1,990,932	1,587,442	3,578,374	6,423,730	10,002,104	2,008,670	1,512,756	3,521,426	6,569,323	10,090,749	2,027,250	1,440,937	3,468,187	6,717,556	10,185,743
Net Surplus/(Deficit)	0	(155,273)	(155,273)	170,155	14,882	0	(76,567)	(76,567)	178,839	102,273	(0)	(1,134)	(1,134)	188,529	187,395
Group Income	1,990,932	1,432,169	3,423,101	6,593,885	10,016,986	2,008,670	1,436,189	3,444,859	6,748,163	10,193,022	2,027,249	1,439,803	3,467,053	6,906,086	10,373,139
Group Net Expenditure	1,990,932	1,587,442	3,578,374	6,423,730	10,002,104	2,008,670	1,512,756	3,521,426	6,569,323	10,090,749	2,027,250	1,440,937	3,468,187	6,717,556	10,185,743
Group Surplus/(Deficit)	0	(155,273)	(155,273)	170,155	14,882	0	(76,567)	(76,567)	178,839	102,273	(0)	(1,134)	(1,134)	188,529	187,395



June 2016

Dear Chartered Legal Executive Colleague

YOUR 2017 PRACTISING CERTIFICATE FEE: CONSULTATION

CILEx seeks your view on how much next year's Practising Certificate (PC) should cost. To comment and vote on one of the following options click on this link:

<https://www.surveymonkey.com/r/PCF-survey>

- Option A: £355 – no change;
- Option B: £365 – a move towards the full cost of regulation;
- Option C: £372 – the full cost of regulation for 2017.

CILEx and its independent regulator CILEx Regulation follow a prescribed fee setting process governed by the Legal Services Act 2007 and Rules made by the Legal Services Board. This makes us accountable to you for how revenue raised from PC fees is spent.

CILEx can only use PC fee income to fund the direct cost of regulation carried out by CILEx Regulation, and certain other 'permitted purposes' such as:

- maintaining the member database,
- legal, professional and regulatory updates in the CILEx Journal,
- law reform activity and lobbying.

CILEx delegates its regulatory function to CILEx Regulation. Rules on how CILEx can spend PC fee income guarantee the independence of the regulator by making sure it is properly funded for the regulatory activities it carries out.

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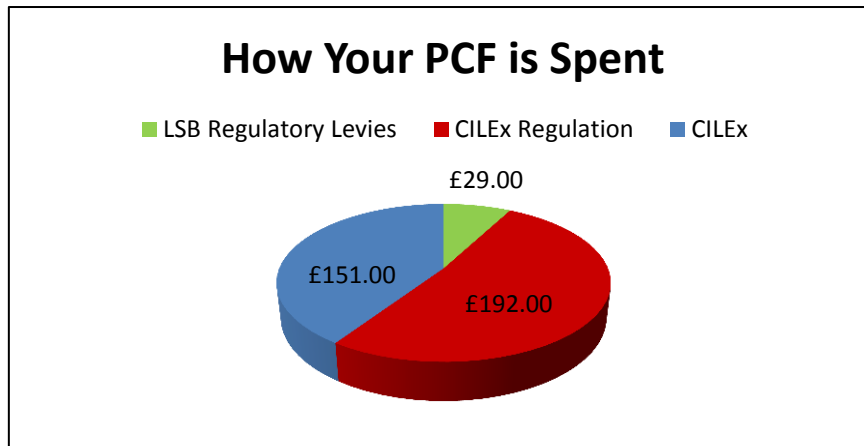
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The overall cost per Fellow of regulation and permitted purposes activity for 2017 looks like this:



CILEx Regulation, in readiness for greater regulatory independence, has prepared its budget for 2017 on the basis of decreased subsidy from CILEx. The budget also includes costs of shared services that have previously been borne by CILEx and improved forecasting in relation to PCF take up across the Fellowship grade. CILEx Regulation believes that this will provide greater transparency regarding the cost of regulation. These factors have necessarily resulted in the per capita cost of CILEx Regulation increasing,

CILEx has restructured over the last six months, in order to focus resources on membership value and income generation. This has reduced the cost of CILEx permitted purposes. This has had the effect of reducing this element of the PC fee.

CILEx and CILEx Regulation are committed to providing proportionate, cost-effective regulation in the public interest, and believe that the full cost of regulation should be met by the regulated community. Our view is that in order to achieve this, the PC fee should increase from £355 to £372.

This consultation closes on 12th July 2016. CILEx Council will take into account your votes and comments when it considers the fee at its July meeting.

CILEx Regulation will consult separately in relation to fees for individuals with practice rights, entity regulation fees and compensation fund contributions.

Kind regards



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Chief Executive
CILEx



Helen Whiteman
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CILEx 2017 Draft Budget & PCF Calculations: Associate Prosecutor

	2013	2014	2015	2016	2016	2017
	Actual	Actual	Actual	Draft Budget for PCF	Budget	Draft Budget for PCF
CRL Income & Expenditure (Excl. Entity)	£'s					
Income	23,187	10,485	47,163	22,800	147,130	194,020
Operating Expenses	398,119	308,006	503,068	426,981	503,910	430,060
Trading Surplus/(Deficit)	(374,932)	(297,521)	(455,905)	(404,181)	(356,780)	(236,040)
Admin & Property Expenses	114,811	81,707	165,457	99,000	160,000	272,400
Payroll Costs	486,708	522,929	770,707	607,500	754,113	725,401
CRL Net Surplus/(Deficit)	(976,451)	(902,157)	(1,392,069)	(1,110,681)	(1,270,893)	(1,233,841)
Net Costs of CRL per Fellow	£128	£118	£198	£146	£181	£192
LSB/OLC Levies	202,264	209,107	186,027	205,000	200,000	184,000
LSB/OLC Levies per Fellow	£27	£27	£26	£27	£28	£29
Direct Costs of CRL + Levies per Fellow & A.Ps	£155	£145	£225	£173	£209	£221
CILEx Permitted Purposes: Fellows Apportionment						
Membership/Sales & Development	262,362	284,655	149,070	282,992	126,521	120,786
Awards/Education	523,443	533,506	570,440	539,380	591,742	538,274
International (now in Education)	66,227	34,910	0	0	0	0
Journal (now in Communications)	37,221	34,875	0	0	0	0
Regulatory Affairs/Policy & Governance	74,912	81,946	46,814	79,915	35,402	46,598
Communications & Marketing/Communications	133,006	131,758	28,739	190,957	29,002	30,850
Central Resources	240,977	264,091	283,821	260,010	242,166	234,531
CILEx Law School	38,803	39,773	40,767	47,681	0	0
	1,376,951	1,405,514	1,119,651	1,400,935	1,024,832	971,039
CILEx PP Costs per Fellow	£181	£184	£159	£184	£146	£151
CILEx Permitted Purposes: A.Ps Apportionment						
Membership	3,690	3,224	3,478	3,043	3,283	3,006
Awards						
International & Paralegal						
Journal						
Corporate Affairs	1,054	928	950	859	927	1,160
Communications & Marketing						
Central Resources	3,389	2,991	2,843	2,796	3,016	5,836
CILEx Law School						
	8,133	7,143	7,271	6,698	7,226	10,001
CILEx PP Costs per A.P.	£24	£27	£29	£26	£29	£57
Total Regulatory & Permitted Purposes Cost	2,555,666	2,516,778	2,697,747	2,716,616	2,495,725	2,388,880
Cost per Fellow	£336	£329	£384	£355	£355	£372
Cost per A.P.	£179	£172	£254	£197	£238	£277
Total Fellows & A.Ps	7,947	7,927	7,274	7,848	7,274	6,606
Fellows @ 01/04/XX	7,613	7,658	7,021	7,595	7,021	6,430
APs @ 01/04/XX	334	269	253	253	253	176
Total Members @ 01/04/XX	20,363	20,899	20,364	20,938	20,364	17,483
Fellows as % of Total Members excl. APs	38.01%	37.12%	34.91%	36.72%	34.91%	37.15%

2017 PRACTICE RIGHTS AND ENTITY REGULATION FEE CONSULTATION

We seek your view on the regulatory fees we propose to charge for the 2017 practising year for practice rights and/or entity regulation. We would be grateful if you would please complete the survey at the following link ([insert SurveyMonkey link](#)).

Practice rights

CILEx Practitioners with conveyancing, probate, litigation and immigration practice rights pay an annual practising fee for the practice right.

Fellow with Practice Rights:

Fellows of CILEx will pay an additional fee for each practice right on top of their Fellowship practising certificate fee (PCF). We propose the following options for the 2017 practice rights top up fee:

- Option 1: £50 per practice right (no increase)
- Option 2: £55 per practice right (10% increase)
- Option 3: £60 per practice right (20% increase).

A separate consultation with Fellows proposes three options for the 2017 practising fee of £355 (no change), £365 (£10 increase and a move toward the full cost of regulation) and £372 (the full cost of regulation for 2017).

Taking the two sets of consultation figures together gives the following range of options depending on the outcome of the separate PCF fee consultation with Fellows.

Practice rights top up fee options	PCF fee options subject to consultation	TOTAL
Option 1: £50 (no change)	£355 (no change)	£405
Option 1: £50 (no change)	£365 (£10 increase)	£415
Option 1: £50 (no change)	£372 (full cost of regulation)	£422
Option 2: £55 (10% increase)	£355 (no change)	£410
Option 2: £55 (10% increase)	£365 (£10 increase)	£420
Option 2: £55 (10% increase)	£372 (full cost of regulation)	£427
Option 3: £60 (20% increase)	£355 (no change)	£415
Option 3: £60 (20% increase)	£365 (£10 increase)	£425
Option 3: £60 (20% increase)	£372 (full cost of regulation)	£432

Non-Fellow grade of membership with Practice Rights:

Conveyancing and Probate practice rights are open to non-Fellow grades of membership. Members in non-Fellow grades with a practice right pay the equivalent of the PCF, plus top up fees per practice right. We propose the same practice right fee options for non-Fellow members as for Fellows.

Non-members with Practice Rights:

Non-members are eligible to apply for Conveyancing and Probate practice rights:

We propose the following options for 2017 non-member practice fees:

- £450 per year (no increase) (top-up fee per practice right payable in addition)
- £495 per year (10% increase) (top-up fee per practice right payable in addition)
- £540 per year (20% increase) (top-up fee per practice right payable in addition).

Practising certificate fee income is used to fund:

- the direct cost of regulation carried out by CILEx Regulation;
- the levy to the Legal Services Board and Legal Ombudsman; and
- 'permitted purposes' such as:
 - maintaining the member or regulatory database,
 - legal, professional and regulatory updates,
 - law reform activity and lobbying.

Entities

We propose the following options for fees for entities authorised by us, as set out in the fee structures below, firstly for application/inspection, and secondly for annual renewal:

- Option 1: no increase
- Option 2: 1.5% inflationary increase.

Practising certificate fee income paid by individuals is not used to fund entity regulation. CILEx Regulation commenced entity regulation in 2015 with development funding from CILEx. CILEx will reduce its subsidy for entity regulation over time. A 1.5% increase represents a step towards full recovery of the cost of entity regulation.

The fees are set according to size of entity, defined by turnover and the risk it poses, defined by its approach to handling client money.

Our current fee scales show £500,001 to £750,000 as the upper turnover bracket. We propose to revise the upper turnover bracket to £500,001 plus, in order better to align the fee structure with the compensation fund contributions structure.

Entity Application and Inspection Fees:

Turnover	Client money	Escrow	No Client money	Current Fee		Proposed Fee	
				Application	Inspection	Application	Inspection
£0	✓			1350	775	1370	787
to		✓		950	650	964	660
£100,000			✓	750	450	761	457
£100,001	✓			1900	950	1929	964
to		✓		1350	700	1370	711
£200,000			✓	1050	550	1066	558
£200,001	✓			2250	1250	2284	1269
to		✓		1550	875	1573	888
£300,000			✓	1300	700	1320	711
£300,001	✓			2550	1400	2588	1421
to		✓		1750	1100	1776	1117
£400,000			✓	1500	850	1523	863
£400,001	✓			2950	1550	2994	1573
to		✓		2350	1250	2385	1269
£500,000			✓	1650	950	1675	964
£500,001	✓			4250	2000	4314	2030
plus		✓		3400	1600	3451	1624
			✓	2350	1200	2385	1218

Entity Annual Renewal Fees:

Turnover	Client money	Escrow	No Client money	Current Fee	Proposed Fee
£0	✓			1,025	1,040
to		✓		830	842
£100,000			✓	670	680
£100,001	✓			1,425	1,446
to		✓		1,230	1,248
£200,000			✓	1,025	1,040
£200,001	✓			1,625	1,649
to		✓		1,430	1,451
£300,000			✓	1,125	1,142
£300,001	✓			1,825	1,852
to		✓		1,530	1,553
£400,000			✓	1,225	1,243
£400,001	✓			2,025	2,055
to		✓		1,630	1,654
£500,000			✓	1,225	1,243
£500,001	✓			2,825	2,867
plus		✓		2,330	2,365
			✓	1,825	1,852

Compensation Fund Contributions:

Authorised entities make an annual contribution to the compensation fund. Contributions are calculated on a risk basis and divided into two areas (Immigration and Litigation and a second set of fees for Probate and Conveyancing).

We propose the following options for compensation fund fees:

- Option 1: no increase
- Option 2: 1.5% inflationary increase.

Immigration and Litigation					
Turnover	Client money	Escrow	No Client money	Current Fee	Proposed Fee
£0 to £100,000	✓			600	609
		✓		450	457
			✓	300	305
£100,001 to £200,000	✓			800	812
		✓		650	660
			✓	400	406
£200,001 to £300,000	✓			1,000	1,015
		✓		750	761
			✓	500	508
£300,001 to £400,000	✓			1,200	1,218
		✓		800	812
			✓	600	609
£400,001 to £500,000	✓			1,400	1,421
		✓		1,050	1,066
			✓	800	812
£500,001 to £750,000	✓			1,700	1,726
		✓		1,300	1,320
			✓	850	863
£750,001 to £1,000,000	✓			2,500	2,538
		✓		1,750	1,776
			✓	1,250	1,269
£1,000,001 plus	✓			5,000	5,075
		✓		3,500	3,553
			✓	2,500	2,538

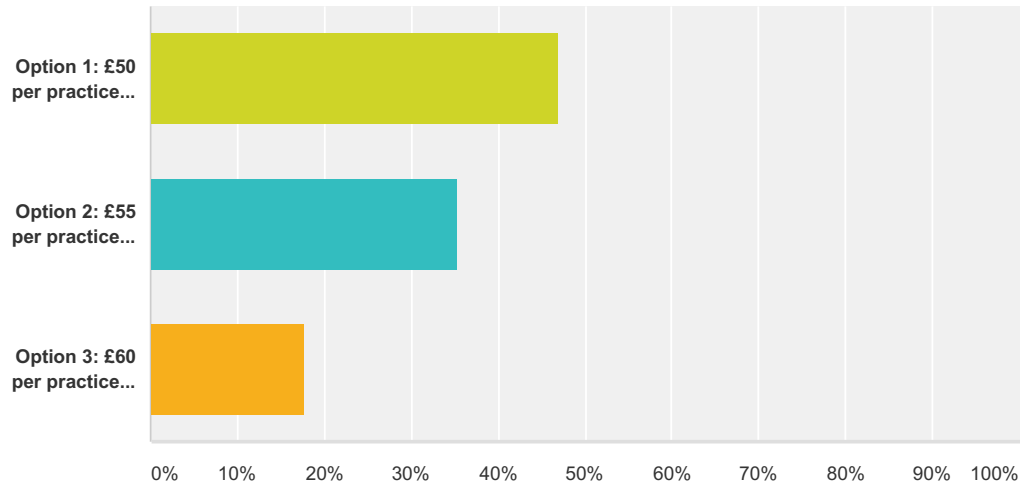
Probate and Conveyancing					
Turnover	Client money	Escrow	No Client money	Current Fee	Proposed Fee
£0 to £100,000	✓			800	812
		✓		600	609
			✓	400	406
£100,001 to £200,000	✓			1,000	1,015
		✓		750	761
			✓	500	508
£200,001 to £300,000	✓			1,200	1,218
		✓		950	964
			✓	600	609
£300,001 to £400,000	✓			1,400	1,421
		✓		1,050	1,066
			✓	700	711
£400,001 to £500,000	✓			1,600	1,624
		✓		1,200	1,218
			✓	800	812
£500,001 to £750,000	✓			2,000	2,030
		✓		1,500	1,523
			✓	1,000	1,015
£750,001 to £1,000,000	✓			3,000	3,045
		✓		2,000	2,030
			✓	1,500	1,523
£1,000,001 plus	✓			6,000	6,090
		✓		4,400	4,466
			✓	3,000	3,045

We welcome your views on our proposals.

This consultation closes on 12 July 2016.

Q1 1. Fellows will pay a practising certificate fee (PCF) of £355, £365 or £372. Which of the following fees should a Fellow with a practice right pay in addition to the PCF, per practice right?

Answered: 17 Skipped: 0

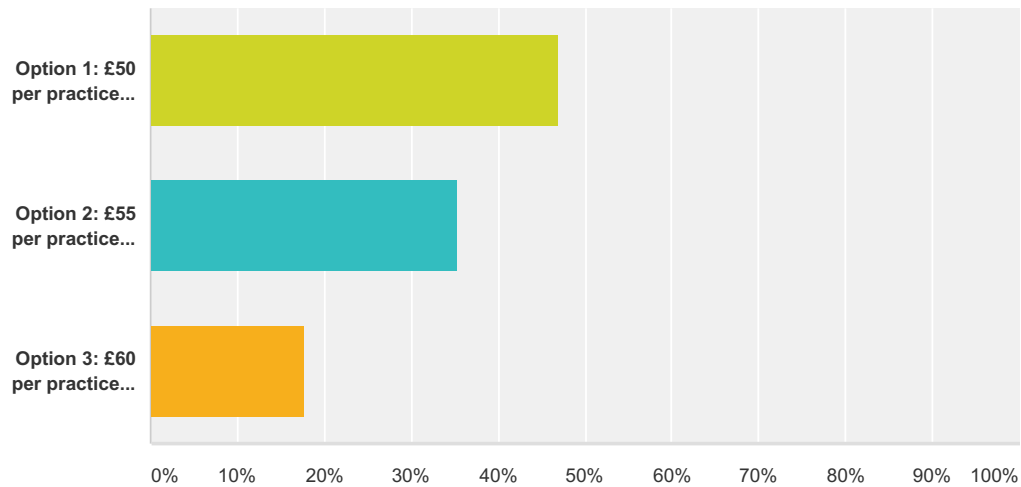


Answer Choices	Responses
Option 1: £50 per practice right (no increase)	47.06% 8
Option 2: £55 per practice right (10% increase)	35.29% 6
Option 3: £60 per practice right (20% increase)	17.65% 3
Total	17

#	Comments:	Date
1	Ideally the fees should be lower	7/1/2016 7:46 AM
2	These fees are not justified. Members will look to cross qualify, for example as Licensed Conveyancers, if fees are not competitive and unjustified.	6/30/2016 10:14 PM
3	It is not clear whether the practice right fee operates at a loss or a break even, but it should aim for break even.	6/30/2016 4:12 PM
4	This should be the total, not per practice right!	6/16/2016 10:13 PM
5	This consultation is meaningless without evidence of the country's sets of regulation	6/14/2016 6:40 PM
6	The full costs should be recovered for the future	6/14/2016 5:10 PM

Q2 2. Members in non-Fellow grades with a practice right pay the equivalent of the PCF plus top up fees per practice right. Which of the following fees should a member in a non-Fellow grade with a practice right pay in addition to the PCF, per practice right?

Answered: 17 Skipped: 0

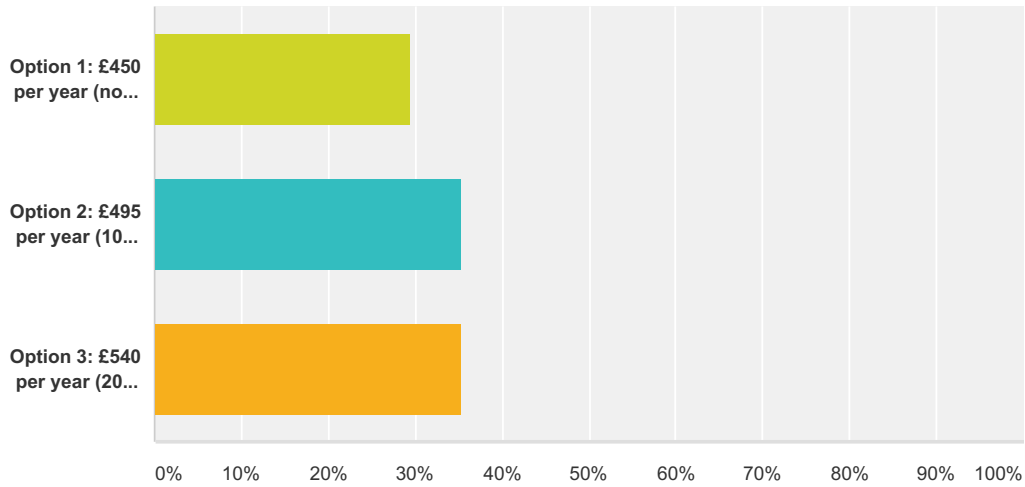


Answer Choices	Responses
Option 1: £50 per practice right (no increase)	47.06% 8
Option 2: £55 per practice right (10% increase)	35.29% 6
Option 3: £60 per practice right (20% increase)	17.65% 3
Total	17

#	Comments:	Date
1	No additional cost	7/1/2016 7:46 AM
2	Members in non-Fellow grades should pay the same for practice rights as members in Fellow grade.	6/30/2016 4:12 PM
3	This should be the total, not per practice right!	6/16/2016 10:13 PM
4	See above	6/14/2016 6:40 PM

Q3 3. Which of the following fees should a non-member with practice rights pay (top-up fee per practice right payable in addition)?

Answered: 17 Skipped: 0

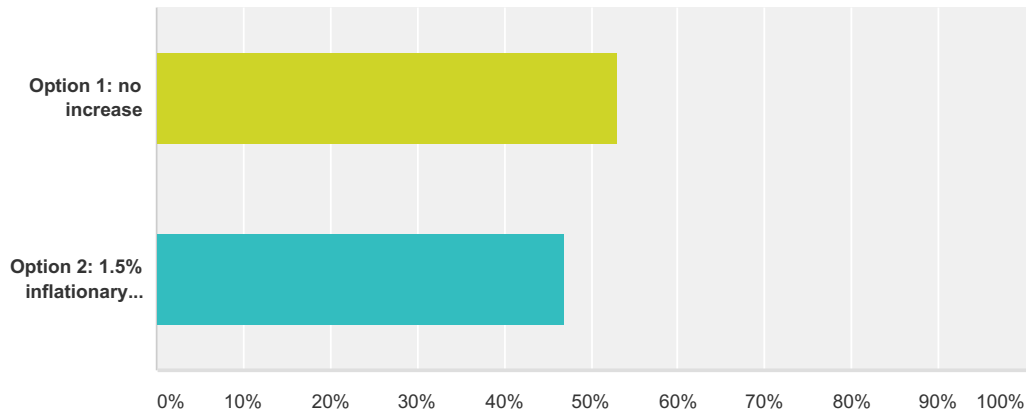


Answer Choices	Responses
Option 1: £450 per year (no increase)	29.41% 5
Option 2: £495 per year (10% increase)	35.29% 6
Option 3: £540 per year (20% increase)	35.29% 6
Total	17

#	Comments:	Date
1	I consider that the fee payable by a non-member should at least break even	6/30/2016 4:12 PM
2	See above	6/14/2016 6:40 PM

Q4 4. Should we increase entity fees for both application/inspection, and for annual renewal as follows?

Answered: 17 Skipped: 0

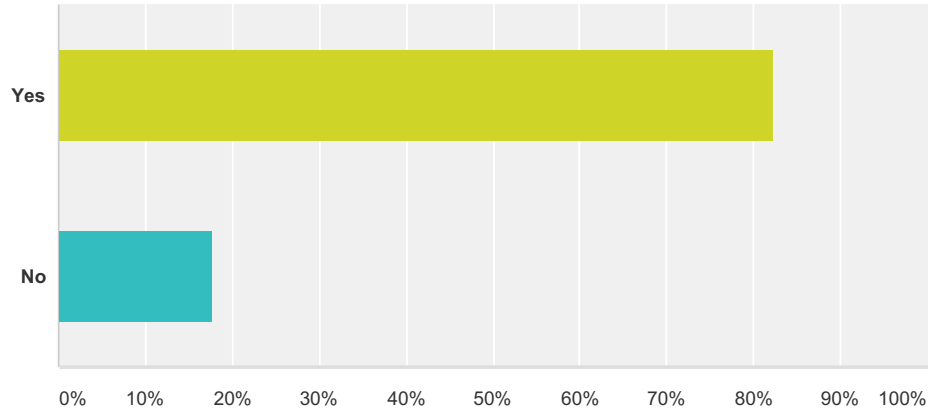


Answer Choices	Responses
Option 1: no increase	52.94% 9
Option 2: 1.5% inflationary increase	47.06% 8
Total	17

#	Comments:	Date
1	Why?	6/30/2016 10:14 PM
2	There must be an increase to cover inflation	6/30/2016 4:12 PM
3	These fees are expensive as they are. It is also unfair that small businesses are classed as having a turnover of 100,000. This is just not a true reflection for small businesses. There should be a range for 0 to 50,000 to cater for small businesses.	6/30/2016 11:44 AM
4	Prices for small companies are very high already.	6/16/2016 10:13 PM
5	See above	6/14/2016 6:40 PM
6	The current fees are in my opinion and compared to other regulators are rather high at the moment and therefore should not be increased	6/14/2016 5:10 PM

Q5 5. Should we revise our entity regulation fee scales to show an upper turnover bracket to £500,001 plus, in order to align the fee structure with the compensation fund contributions structure?

Answered: 17 Skipped: 0

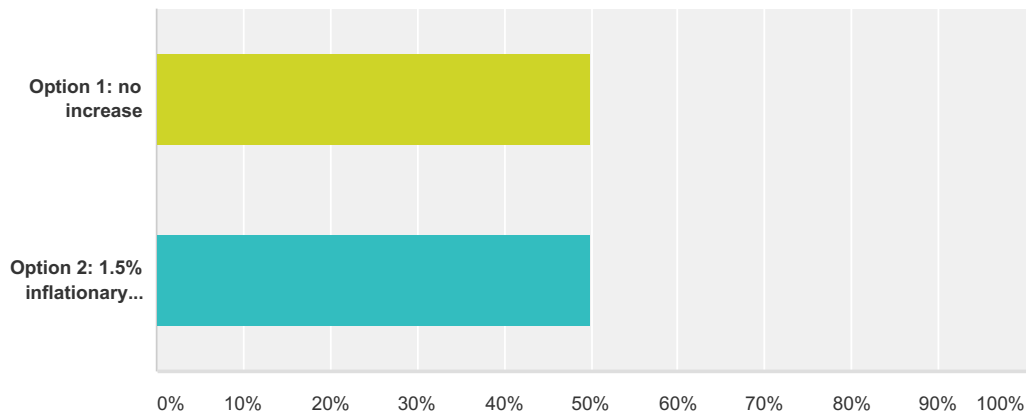


Answer Choices	Responses
Yes	82.35% 14
No	17.65% 3
Total	17

#	Comments:	Date
1	It seems equitable that the fee structure is aligned with the compensation fund contributions structure	6/30/2016 4:12 PM
2	and you should also adjust the range for small businesses to 0 - 50,000 see comment in question 4.	6/30/2016 11:44 AM
3	And reduce the under £100,000 rates.	6/16/2016 10:13 PM
4	See above	6/14/2016 6:40 PM

Q6 6. Should we increase compensation fund contributions as follows?

Answered: 16 Skipped: 1



Answer Choices	Responses
Option 1: no increase	50.00% 8
Option 2: 1.5% inflationary increase	50.00% 8
Total	16

#	Comments:	Date
1	There must be an increase which covers inflation.	6/30/2016 4:12 PM
2	See above	6/14/2016 6:40 PM