Basic Authorisation of Entity Process

Application & Fee Online

Supporting Documents Online/ in the Mail

Request additional or supplementary information

Incomplete/Innacurate

Check the Application & Documents are Complete and Accurate

Request additional or supplementary information

Mandatory Criteria Check

Request additional or supplementary information

Insufficient or Conflicting Information

BSB Check

Check other regulators

Due Diligence Checks

Failed Check

Failed Check

Failed Check

Discretionary Criteria Check

Risk Assessment

Failed Check

Final Check

Authorisation Agreed, Risk Level set and supervision details in place

Refuse Authorisation

Return to process

Agreed

Refusal

Applicant Appeals

Appeal Process

Appeal Decision

Refused