

<b>LSA Requirement</b>	<b>Location in Rules</b>
<b>Section 83</b>	
5 (a) (qualification requirements)	Licensing Rule 3 (1)
5 (b) (improving access to justice)	Licensing Rule 3 (2) (b)
5 (c) (regulation of conduct)	Licensing Rules Code of Conduct Investigation, Disciplinary and Appeals Rules
5 (d) (indemnification arrangements)	Licensing Rule 3 (1) (e) Professional Indemnity Insurance Rules
5 (e) (compensation arrangements)	Licensing Rule 3 (1) (e) Compensation Fund Rules
5 (f) (resolution of regulatory conflict)	Framework Memorandum of Understanding
5 (g) (complaints handling)	Code of Conduct Principle 5
<b>Schedule 11</b>	
Paragraph 1 (form of application)	Licensing Rule 2
Paragraph 2 (determination of application)	Licensing Rules 2, 3 and 4
Paragraph 3 (review of determination)	Licensing Rules 17 (5) and 25
Paragraph 4 (period of licence)	Licensing Rules 5 (1) and 10
Paragraph 5 (continuity of licence)	Licensing Rule 5 (2)
Paragraph 6 (modification of licence)	Licensing Rules 13,20,21,22, 23 and 25
Paragraph 7 & 8 (special bodies)	Licensing Rule 29
Paragraph 9 (management)	Licensing Rule 8

Paragraph 10 (management)	Licensing Rule 8
Paragraph 11 and 12 (HoLP)	Licensing Rules 7 (1)-(3),16, 17, 19 and 25
Paragraph 13 and 14 (HoFA)	Licensing Rules 7 (4)-(7),16, 17, 19 and 25
Paragraph 15 (practising address)	Licensing Rule 3 (1) (c)
Paragraph 16 (licensed activities)	Licensing Rule 3 (1) (b)
Paragraph 17 (compliance with regulatory arrangements)	Licensing Rule 6
Paragraph 18 (disqualified persons)	Licensing Rule 9
Paragraph 19 (indemnification and compensation arrangements)	Licensing Rules 16 and 17
Paragraph 20 (accounts)	Licensing Rule 7 (6) (a) Accounts Rules
Paragraph 21 (fees)	Licensing Rule 11
Paragraph 22 (financial penalties)	Licensing Rule 24
Paragraph 23 (disqualifications)	Licensing Rule 19
Paragraph 24, 25 and 26 (suspension or revocation of licence)	Licensing Rules 14 and 25