

## Statutory Decisions July to September 2016 and Forward Look for October to December 2016

Applications to change regulatory arrangements			
Applications		Key points	Forward look for October to December 2016
Open at start	0	<p><b>Applications completed (4) * Rule change applications approved by exemption</b></p> <ul style="list-style-type: none"> <li>Bar Standards Board (BSB) regulatory arrangements in respect of its Bar Course Aptitude Test</li> <li>Council for Licensed Conveyancers (CLC) Education Framework</li> <li>The Solicitors Regulation Authority (SRA) Compensation Fund Contributions 2016/17*</li> <li>CLC Compensation Fund Contributions 2016/17*</li> </ul> <p><b>Exemptions (5)</b></p> <ul style="list-style-type: none"> <li>SRA The Minimum Terms and Conditions of its Indemnity Insurance Rules, to give effect to the provisions of the Insurance Act 2015</li> <li>SRA Minor changes to Participating Insurer's Agreement, which forms part of the SRA's Indemnity Insurance Rules</li> <li>Institute of Chartered Accountants in England and Wales (ICAEW) regulatory arrangements in respect of its PII, updating to take account of the requirements of the Insurance Act 2015</li> <li>Master of the Faculties (MoF) Code of Practice</li> <li>Chartered Institute of Legal Executives (CILEx) Regulation – Work Based Learning</li> </ul>	<p><b>Expected Applications/Exemptions (10)</b></p> <ul style="list-style-type: none"> <li>SRA/BSB QASA amendments</li> <li>SRA(1): Participating Insurers Agreement</li> <li>Bar Standards Board (5): Continuous Professional Development; conflicts of interest; reporting serious misconduct; complaints regulations; Inns Conduct Committee Rules.</li> <li>CILEx Regulation (2): Changes to Compensation Fund; Investigation, Disciplinary and Appeal Rules;</li> <li>ICAEW (1) Disciplinary Bye-Laws</li> </ul>
New applications	4		
Completed applications	2		
Open at end	0		
Exemptions	5		
Performance Indicators			
Applications -			
Acknowledged (2 days)	100%		
Published on website (2 days)	100%		
Decision in 28 days	4		
Decision in 90 days	0		
Decisions over 90 days	0		
Average time to decision (days)	24		
Other Activity (x= nothing to report; √= action)			
Application to extend RLA	√	<p><b>Applications to extend RLAs and New AR/LA</b></p> <ul style="list-style-type: none"> <li>ICAEW made is application to extend RLA to matters relating to taxation on 20 July 2016. The LSB has commenced its assessment and has written to mandatory consultees, the Competitions and Market Authority (CMA) and Legal Services Consumer Panel (LSCP) for advice</li> <li>Lord Chancellor agreed the LSB recommendation to make an order designating General Council of the Bar as a LA. BSB has begun piloting authorisation process.</li> <li>Commenced consultation on a draft section 69 order to modify the functions of the Bar Council; consultation closes 22 November 2016</li> </ul>	<p><b>Applications to extend RLAs and New AR/LA</b></p> <ul style="list-style-type: none"> <li>Continue to work on ICAEW designation application. Mandatory consultees due to respond by 18 October. Lord Chief Justice consultation and ICAEW representation periods likely to take until end of 2016.</li> <li>Continue to work with BSB and MoJ colleagues on section 69 order</li> </ul> <p><b>PCF approval</b> PCF applications from Intellectual Property Regulation Board and Bar Council</p>
New AR application	√		
New LA application	√		
Cancellation of designation	X		
PCF approval	√		
Other	X		

Key: PCF = Practising Certificate Fee; AR = Approved Regulator; RLA = reserved legal activity; LA = licensing authority

Prepared by Paul Greening

Date: 4 October 2016

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		<ul style="list-style-type: none"><li>• The following PCF applications were approved from:<ul style="list-style-type: none"><li>○ MoF</li><li>○ SRA</li><li>○ CLC</li><li>○ Costs Lawyer Standards Board</li></ul></li></ul>	
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