

Statutory Decisions October to December 2016 and Forward Look for January to March 2017

| Applications to change regulatory arrangements | | | |
|---|------|--|--|
| Applications | | Key points | Forward look for January to March 2017 |
| Open at start | 0 | <p>Applications completed (1)</p> <ul style="list-style-type: none"> Bar Standards Board (BSB) Continuing Professional Development <p>Exemptions (3)</p> <ul style="list-style-type: none"> CILEx Regulation Compensation Fund contributions 2017 Institute of Chartered Accountants in England and Wales (ICAEW) Disciplinary Bye-laws BSB Membership and Procedures of the Inns Conduct Committee Rules <p>Applications received and being considered (2)</p> <ul style="list-style-type: none"> BSB Disciplinary Tribunal Regulations The Master of the Faculties Notaries Practising Rules and Notaries Qualification Rules | <p>Expected Applications/Exemptions (4)</p> <ul style="list-style-type: none"> SRA/BSB QASA amendments ICAEW Probate Regulations and Probate Compensation Fund Regulations CILEx Regulation Compensation Fund arrangements SRA Indemnity Insurance Rules |
| New applications | 3 | | |
| Completed applications | 1 | | |
| Open at end | 2 | | |
| Exemptions | 3 | | |
| Performance Indicators | | | |
| Applications - | | | |
| Acknowledged (2 days) | 100% | | |
| Published on website (2 days) | 100% | | |
| Decision in 28 days | 1 | | |
| Decision in 90 days | 0 | | |
| Decisions over 90 days | 0 | | |
| Average time to decision (days) | 24 | | |
| Other Activity (x= nothing to report; √= action) | | | |
| Application to extend RLA | X | <p>Applications for new AR/LA</p> <ul style="list-style-type: none"> We continue to assess the ICAEW designation application. Advice has been received from the Competitions and Market Authority (CMA) and Legal Services Consumer Panel (LSCP) and has been requested from the Lord Chief Justice. In December ICAEW made an amendment to the application after it decided that at this stage it would not develop training and qualification arrangements for conduct of litigation. Any entities that wished to be authorised for these activities will need to deliver them through individuals (e.g. a solicitor) who is authorised by another legal services regulator <p>Other</p> <ul style="list-style-type: none"> The consultation on a proposed order under section 69 of the Act to modify the functions of the Bar Council closed on 26 November 2016. Three responses were received and the representations made are being considered by the LSB and the BSB. The Solicitors Disciplinary Tribunal Budget 2017 was approved by the Board in October 2016 <p>PCF approval</p> | <p>Applications for new AR/LA</p> <ul style="list-style-type: none"> Continue to work on ICAEW designation application. Lord Chief Justice's advice is due to be submitted at the end of January. Our current expectation is that we should be in a position to make a decision on this application in March. The order designating the Bar Council as a licensing authority is expected to be laid in Parliament early in 2017 CILEx Regulation are planning to submit an application seeking designation as a licensing authority in Q1 2017 <p>Other</p> <ul style="list-style-type: none"> Complete work on the BSB section 69 order and make recommendation to the Lord Chancellor <p>PCF approval</p> <ul style="list-style-type: none"> Complete PCF application from Bar Council/Bar Standards Board |
| New AR application | √ | | |
| New LA application | √ | | |
| Cancellation of designation | X | | |
| PCF approval | √ | | |
| Other | √ | | |

Key: PCF = Practising Certificate Fee; AR = Approved Regulator; RLA = reserved legal activity; LA = licensing authority

Prepared by Dawn Reid Date: 5 January 2017

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| | | <ul style="list-style-type: none">• The PCF applications from the Chartered Institute of Legal Executives and the Intellectual Property Regulation Board were approved• The application from the Bar Council/BSB has been received and is being considered | |
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