

Annex D

	Applications received for initial authorisation of an entity previously authorised by another legal services regulator	Applications received for initial authorisation of an entity where an owner is or has been authorised by another legal services regulator	Number of times regulatory information was requested and/or received from another legal services regulator
BSB	1	5	2
CILEx Regulation	0	2	0
CLC	4	4 ¹	17 ²
ICAEW	0	0	0
IPReg	0	0	1
SRA	1 ³	10 ⁴	19 ⁵
Total	6	21	39

The CLC and SRA provided the following explanatory information alongside the data they reported.

¹ These are the owners of the four entities listed in the previous column.

² 15 of the requests concerned individuals in the four entities previously listed.

³ The authorisation process for this firm has not yet been finalised and it is still currently authorised by CILEx Regulation.

⁴ Of the owners, 8 were previously authorised by CILEx Regulation and 2 by the BSB.

⁵ As well as owners, this figure includes requests about individuals holding roles as managers, COLPs and COFAs. Requests made when considering three applications for a change of authorisation from a recognised body to a licensed body have also been included, and one request concerned the firm listed in column 2. Of the requests, 13 involved an exchange of information with CILEx Regulation, 4 the BSB, and 2 the CLSB.