

To: Board

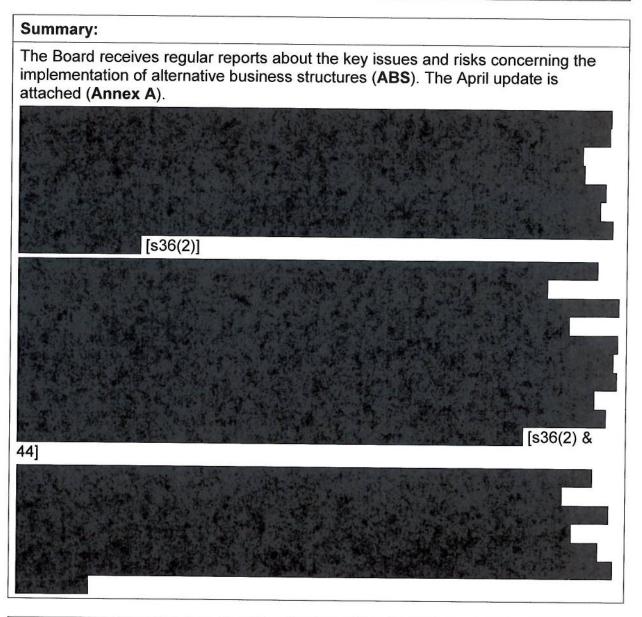
Date of Meeting: 28 April 2011 Item: Paper (11) 28

Title: ABS implementation: next steps – key issues and risks

Workstream(s): Workstream 5b: Widening access to the legal market

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Status: Restricted



Risks and m	itigations	
Financial:	N/A.	
FolA:	Initial assessment of exempted text is highlighted. Annex B – s36(2).	
	Annex C (table) – s22 and s36(2).	

Legal:	N/A.
Reputational:	This is a key policy issue and there is therefore a serious reputational impact if ABS implementation is delayed significantly or if slippage happens in an uncontrolled, poorly communicated way. This is mitigated by continuing close discussions CLC, SRA and MoJ.
Resource:	Resource overall for the ABS project is stretched.

Consultation	Yes	No	Who / why?
Board Members:		✓	
Consumer Panel:		1	
Others:	N/A.		

## Recommendation(s):

The Board is invited:

- a) to note and to comment on the progress of the implementation of ABS (Annex A)b) to discuss its approach to website information.

Annex A – ABS implementation: next steps – key issues and risks (April 2011)

	DO CONTROL OF THE PROPERTY OF		
Rating	[REDACTED]	[REDACTED]	[REDACTED]
Mitigation			
[FolA exempt – s36] Kev issues/risks			
Board input	To sign final rules after consent from Lord Chancellor to make them	Decision 13 June	Agreed to recommendation in principle (by email)
Next key date(s)	Response to final MoJ queries sent	Publication of SRA s80 consultation 5 May for 4 weeks (closes 2 June)	Consultation ends 21 April Final clearance from Parliamentary Counsel and JCSI
Topic	Maximum Financial Penalty SI	Appellate bodies	Section 69 Order

	1	
[REDACTED]	[REDACTED]	[REDACTED]
28/4/11 – decision on recommendation	Decision on recommendation 13 June	Most are MoJ led
Board decision 28/4/11	Received 25 March 2011	From January 2011 to October 2011
CLC application to become licensing authority	SRA application to become licensing authority	10 Sls / Commencement Orders

[REDACTED]	[REDACTED]	[REDACTED]
Parliamentary debate	N/A	
MoJ leading	Ongoing	MoJ confirmed 7 April that no IA needed. LSB now identifying
Rehabilitation of Offenders (Exceptions) Order	LSB resources	LSA Part 5 Impact Assessment

best way to	publish work	already done to	complement	Orders to be laid	by 16 May.	

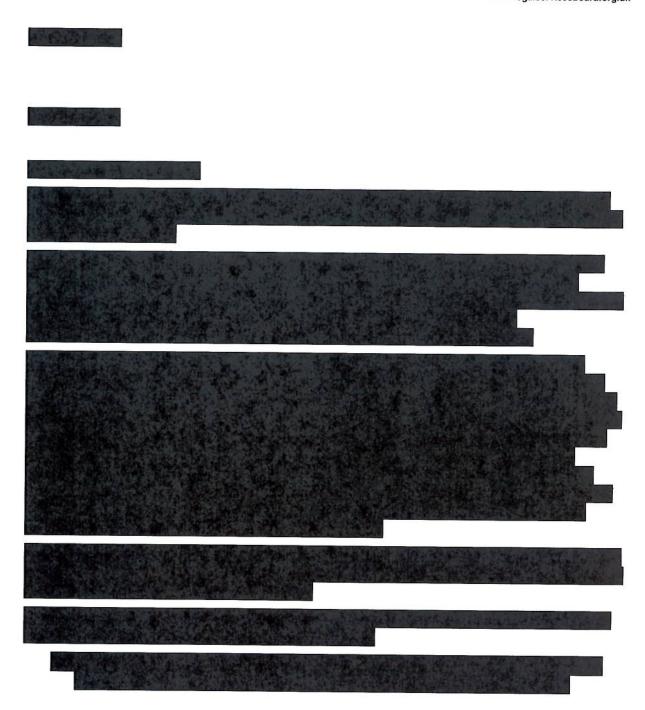
## Annex B – Letter from Chris Kenny to [Exempt: s36(2)]



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Yours sincerely

## Chris Kenny

Chief Executive

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## Annex C – possible website information

Subject to Parliamentary approval, ABS will be allowed from 6 October 2011. This Table sets out the key steps that are needed between April and October to enable this to happen. This information is correct as of [•]. It will be updated if there are significant changes.

