

Regulatory Decisions January to March 2011 and Forward Look April to June 2011

| Applications to change regulatory arrangements | | Key points | Forward look for April to June |
|---|--|--|---|
| <p>Applications</p> <p>Open at start</p> <p>New applications</p> <p>Completed applications</p> <p>Open at end</p> <p>Exemptions</p> <p>Follow-up actions</p> <p>Open at start</p> <p>New from decision notices</p> <p>Due and closed</p> <p>Open at end – overdue</p> <p>– not yet due</p> <p>Performance Indicators</p> <p>Acknowledged (2 days)</p> <p>Published on website (2 days)</p> <p>Decision in 28 days</p> <p>Decision in 90 days</p> <p>Average time to decision</p> <p>All rules changes KPIs have been met</p> | <p>1</p> <p>8</p> <p>4</p> <p>5</p> <p>2</p> <p>19</p> <p>0</p> <p>1</p> <p>0</p> <p>18</p> <p>100%</p> <p>100%</p> <p>2</p> <p>1</p> <p>47 days</p> | <p>Applications completed</p> <ul style="list-style-type: none"> MOF Practise Rules and Conduct and Discipline Rules (complaints) ILEX Rights of Audience Certification Rules: delegation from Admissions and Licensing Committee to IPS executives CLC Adjudication Rules and Disciplinary Procedure BSB Bar Training Regulations – English Language Test (closed as withdrawn by the BSB) <p>Applications received and to be determined</p> <ul style="list-style-type: none"> CLC Revised Rules and Guidance (including Code of Conduct) SRA revised Handbook IPReg Disciplinary Rules BSB Matters relating to pupillage IPReg Exams and Admissions Regulations <p>Exemptions</p> <ul style="list-style-type: none"> ILEX Rights of Audience Certification Rules (membership of Admissions and Licensing Committee) BSB Code of Conduct Rules 203.1 and 401 (supervision of barristers less than 3 years standing and taking instructions from a 'Court') | <p>Expected Applications/Exemptions</p> <ul style="list-style-type: none"> BSB: <i>awaiting further information from the BSB on their plans for 2011</i> SRA (2): Changes resulting from the Financial Protection Review; LPC accreditation of prior learning; ILEX (1): experience criteria for Fellows IPREG (1): Litigators Code of Conduct; CLSB (4): Code of conduct; practising rules; disciplinary rules; training rules |
| <p>Other Activity (x= nothing to report; ✓= action)</p> <p>Application to extend RLA</p> <p>PCF approval</p> <p>New AR application</p> <p>New LA application</p> <p>New RLA</p> | <p>✓</p> <p>X</p> <p>X</p> <p>✓</p> <p>X</p> <p>✓</p> | <p>Applications to extend RLAs</p> <ul style="list-style-type: none"> Completed assessment of ILEX application relating to CPS Associate Prosecutors; recommendation for designation made to the Lord Chancellor. This application was completed 4 days outside the 6 month target that we have set for ourselves (though well within the 12 months specified in the Act) Continued detailed analysis of the 3 designation applications from ILEX CLC application for designation for rights of audience and litigation <p>Applications to be designated as a licensing authority</p> <ul style="list-style-type: none"> Applications received from CLC and SRA | <ul style="list-style-type: none"> Complete assessment of the ILEX and CLC applications to extend RLAs Complete assessment of CLC and SRA applications to be designated as a licensing authority |

Key: PCF = Practising Certificate Fee; AR = Approved Regulator; RLA = reserved legal activity; LA = licensing authority

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