

<b>To:</b>	Board	
<b>Date of Meeting:</b>	26 May 2011	<b>Item:</b> Paper (11) 37

<b>Title:</b>	ABS implementation: next steps – key issues and risks	
<b>Workstream(s):</b>	Workstream 5b: Widening access to the legal market	
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<b>Status:</b>	Restricted	

<b>Summary:</b>
The Board receives regular reports about the key issues and risks concerning the implementation of alternative business structures ( <b>ABS</b> ). The May update is attached ( <b>Annex A</b> ).

<b>Risks and mitigations</b>	
<b>Financial:</b>	N/A.
<b>FoIA:</b>	Initial assessment of exempted text is highlighted.
<b>Legal:</b>	N/A.
<b>Reputational:</b>	This is a key policy issue and there is therefore a serious reputational impact if ABS implementation is delayed significantly or if slippage happens in an uncontrolled, poorly communicated way. This is mitigated by continuing close discussions with CLC, SRA and MoJ.
<b>Resource:</b>	Resource overall for the ABS project is stretched.

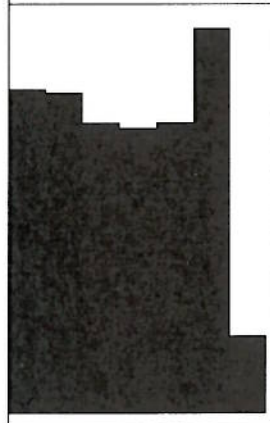
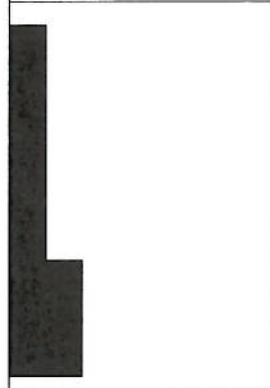


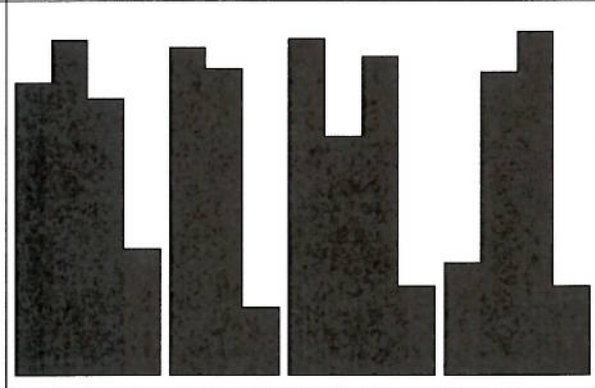

Consultation	Yes	No	Who / why?
<b>Board Members:</b>		✓	
<b>Consumer Panel:</b>		✓	
<b>Others:</b>	N/A.		




<b>Recommendation:</b>
The Board is invited to note and to comment on the progress of the implementation of ABS ( <b>Annex A</b> ).

**Annex A – ABS implementation: next steps – key issues and risks (May 2011)**

Topic	Next key date(s)	Board input	[FoIA exempt – s36]	Mitigation	Rating	Change
			Key issues/risks			
Maximum Financial Penalty SI	Awaiting MoJ feedback on impact assessment	To sign final rules after consent from Lord Chancellor to make them	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
SRA appellate body	SRA s80 consultation closes 2 June	Decision 13 June	[REDACTED] [REDACTED]	[REDACTED] [REDACTED]	[REDACTED]	[REDACTED]
Section 69 Order	Submitted to MoJ 12 May Order laid before Parliament	None	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
CLC application to become licensing authority	Submitted to MoJ 12 May with CLC appellate	None	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

SRA application to become licensing authority	body (s80) Order S80 Order laid before Parliament	Received 25 March 2011	Decision on recommendation 13 June		[REDACTED]	
10 SIs / Commencement Orders	From January 2011 to October 2011	Most are MoJ led			[REDACTED]	

	Rehabilitation of Offenders (Exceptions) Order	Parliamentary debate on current draft	N/A			[REDACTED]	
LSB resources	Ongoing	N/A			[REDACTED]		

Research market baseline analysis document	Publication early June	Will be circulated for information before publication			[REDACTED]	
LSA Part 5 Impact Assessment	MoJ confirmed 7 April that no IA needed. LSB now identifying best way to publish work already done to complement Orders to be laid by 16 May.				[REDACTED]	