

То:	The Board		
Date of Meeting:	14 September 2011	Item:	Paper (11) 61

Title:	Schedule 12 Rules – decision document	
Workstream(s):	Workstream 5b – Widening Access to the legal market	
Introduced by:	Fran Gillon, Director of Regulatory Practice fran.gillon@legalservicesboard.org.uk / 020 7271 0087	
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Status:	Unclassified	

## Summary:

Schedule 12 of Legal Services Act 2007 (**the Act**) sets out the circumstances in which a licensable body may apply to the Board for a decision that the body can apply to the Board (acting as a Licensing Authority (**LSB(LA)**) for a licence. The Board (acting otherwise than as an LA or Approved Regulator) must makes Rules about how it will review that decision. The Board agreed to consult on the draft Rules at its meeting on 28 March 2011.

The consultation ran from 3 May to 19 July 2011. Responses to the consultation were received from The Law Society, Solicitors Regulation Authority, Institute of Legal Executives (**ILEX**) and ILEX Professional Standards.

**Annex A** is the draft decision document to that consultation, including the Rules to be made by the Board.

Risks and mitigations				
Financial:	Low – the decision document is only about how LSB will review decisions about requests to make licence applications to it (i.e. not the actual process of direct licensing).			
FoIA:	N/A.			
Legal:	Failure to make Rules will result in the Board failing to meet the requirements of Schedule 12 of the Act.			
Reputational:	N/A.			
Resource:	N/A.			

Consultation	Yes	No	Who / why?
<b>Board Members:</b>		$\checkmark$	
Consumer Panel:		✓	
Others:	LSB consulted publicly on Schedule 12 Rules from 3 May to 19 July 2011.		

## Recommendation(s):

The Board is invited to agree:

- (1) the 'Rules for LSB review of decisions about licence applications made to it' (see Annex A of the decision document (Annex A))
- (2) the decision document, 'Decision Document: Schedule 12 rules'.