

Regulatory Decisions July to September 2011 and Forward Look October to December 2011

Applications to change regulatory arrangements			
Applications		Key points	Forward look for October to December
Open at start	2	<p>Applications completed (7)</p> <ul style="list-style-type: none"> CLC supplementary to Adjudication Panel and Disciplinary Rules: standard of proof SRA Compensation Fund Contributions 2011 BSB Authorisation to Practice Rules BSB Code of Conduct: barristers participation in ABS IPReg Litigators Code of Conduct SDT Procedural rules in relation to appeals Cost Lawyers Standards Board Governance arrangements <p>Exemptions (9)</p> <ul style="list-style-type: none"> SRA Qualified Lawyers Transfer Scheme Amendment Regulations SRA Practising fee determinations and Recognised Bodies determination BSB Minor alterations to the Inn's Conduct Committee Rules BSB Code of Conduct Part X (definitions) SRA Commencement, Repeals and Amendment Regulations (x2) CLC Appointment Regulations CLC Compensation Fund Contribution BSB Hearings before the Visitors Rules 2011 	<p>Expected Applications/Exemptions</p> <ul style="list-style-type: none"> BSB (3): Changes arising from QASA; Standard contractual terms; Public access scheme SRA (2): Changes arising from QASA; Accreditation of prior learning IPS (4): Changes arising from QASA; experience criteria for admission as a Fellow; CPD Rules for 2012; Immigration Advisers Accreditation Scheme Master of Faculties (1); Notaries (Post Admission Rules)
New applications	5		
Completed applications	7		
Open at end	0		
Exemptions	9		
Follow-up actions			
Open at start	17		
New from decision notices	0		
Due and closed	0		
Open at end – overdue	0		
– not yet due	17		
Performance Indicators			
Acknowledged (2 days)	100%		
Published on website (2 days)	100%		
Decision in 28 days	3		
Decision in 90 days	4		
Average time to decision	34.5 days		
Other Activity (x= nothing to report; √= action)			
Application to extend RLA	√	<p>Applications to extend RLAs</p> <ul style="list-style-type: none"> Continued assessment of CLC application for designation for rights of audience and litigation <p>New AR/LA</p> <ul style="list-style-type: none"> ICAEW continue to develop their plans to submit an application for designation as an AR and LA <p>Applications to be designated as a licensing authority</p> <ul style="list-style-type: none"> Lord Chancellor approved the recommendations that CLC and The Law Society/SRA each be designated as a licensing authorities <p>Cancellation of designation</p> <ul style="list-style-type: none"> ACCA have decided not to submit an application seeking cancellation of designation as an approved regulator 	<ul style="list-style-type: none"> Continue assessment of CLC application to extend RLAs PCF applications: IPReg, BSB and Association of Cost Lawyers
New AR application	√		
New LA application	√		
Cancellation of designation	√		
PCF approval	√		

Key: PCF = Practising Certificate Fee; AR = Approved Regulator; RLA = reserved legal activity; LA = licensing authority

Prepared by Dawn Reid

Date: 30 September 2011

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		<i>PCF Approvals</i> <ul style="list-style-type: none">• The Law Society/SRA• ILEX/IPS• CLC• Master of Faculties	
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