

**APPENDIX 3**

**Statutory Decisions July to September and Forward Look October to December 2013**

Applications to change regulatory arrangements		
Applications		Key points
		Forward look for October to December
Open at start	5	<p><b>Applications completed (8)</b></p> <ul style="list-style-type: none"> <li>• Bar Standards Board (BSB) Handbook (for individuals)</li> <li>• Quality Assurance Scheme for Advocates</li> <li>• ILEX Professional Standards (IPS) Continuing Professional Development Rules</li> <li>• Council for Licensed Conveyancers (CLC) Referral Fees</li> <li>• Solicitors Regulation Authority (SRA) Red Tape Challenge II (exempted)</li> <li>• SRA Overseas Practice Rules</li> <li>• SRA Compensation Fund determination</li> <li>• CLC Adjudication Panel Rules</li> </ul> <p><b>Applications being considered (4)</b></p> <ul style="list-style-type: none"> <li>• Costs Lawyer Standards Board (CLSB) – regulatory arrangements for trainee Costs Lawyers. A warning notice was issued on 17 December</li> <li>• IPS Extending Litigation Rights – a warning notice was issued on 18 April 2013</li> <li>• IPS Accreditation Scheme for Immigration Advisers – a warning notice was issued on 18 April 2013</li> <li>• BSB Practising Certificate Fee Allocation Method</li> </ul> <p><b>Exemptions (3)</b></p> <ul style="list-style-type: none"> <li>• SRA Red Tape Challenge II</li> <li>• SRA QASA consequential amendments</li> <li>• IPS QASA consequential amendments</li> </ul>
New applications	6	
Completed applications	8	
Open at end	3	
Exemptions		
<b>Follow-up actions</b>		
Open at start	4	
New from decision notices	0	
Due and closed	0	
Open at end – overdue	0	
– not yet due	4	
<b>Performance Indicators</b>		
Acknowledged (2 days)	100%	
Published on website (2 days)	100%	
Decision in 28 days	6	
Decision in 90 days	2	
Average time to decision (days)	35	
<p><b>Expected Applications/Exemptions</b></p> <ul style="list-style-type: none"> <li>• BSB (2) : Handbook (entities); Fitness to practise</li> <li>• SRA (1): CPD Regulations</li> <li>• CLSB (1): Outcomes for Cost Lawyers Qualification</li> </ul>		
<p><b>Other Activity</b> (x= nothing to report; √= action)</p>		
Application to extend RLA	√	<p><b>Applications to extend RLAs</b></p> <ul style="list-style-type: none"> <li>• Continued assessment of designation applications ILEX Professional Standards and Intellectual Property Regulation Board (IPReg).</li> </ul> <p><b>New AR/LA</b></p> <ul style="list-style-type: none"> <li>• The Institute of Chartered Accountants for England and Wales (ICEAW) application for designation as an AR and LA for probate is being assessed</li> </ul> <p>For all designation applications work is ongoing with the applicants and Ministry of Justice (MOJ) colleagues to agree the drafting of the related statutory instruments.</p>
New AR application	√	
New LA application	√	
Cancellation of designation	X	
PCF approval	√	
Other	X	
<p><b>Applications to extend RLAs and New AR/LA</b></p> <ul style="list-style-type: none"> <li>• Complete assessment of the designation applications and make recommendations to the Board on decisions</li> <li>• Continue to work on final drafts of the related statutory orders</li> <li>• Commence consultation on statutory orders (after relevant clearance from MOJ)</li> </ul> <p><b>Practising Certificate fees (section 51)</b></p> <ul style="list-style-type: none"> <li>• Application from IPReg to be assessed</li> </ul>		

Key: PCF = Practising Certificate Fee; AR = Approved Regulator; RLA = reserved legal activity; LA = licensing authority

Prepared by Dawn Reid Date: 01 October 2013

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		<p><b>Practising Certificate fees (section 51)</b></p> <p>The following applications were approved</p> <ul style="list-style-type: none"><li>• The Faculty Office</li><li>• Chartered Institute of Legal Executives/IPS</li><li>• The Law Society/SRA</li><li>• CLC</li></ul> <p>The application from the CLSB is being considered</p>	
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