

Appendix 3

Statutory Decisions January to March 2014 and Forward Look for April to June

Applications to change regulatory arrangements			
Applications		Key points	Forward look for April to June
Open at start	0	Applications completed (3) <ul style="list-style-type: none"> Solicitors Regulation Authority (SRA) Higher Rights of Audience (treated as an exemption) SRA Financial Services (Scope) Rules [2014] Costs Lawyer Standards Board (CLSB) Code of Conduct 	Expected Applications/Exemptions <ul style="list-style-type: none"> BSB (1) : Handbook (entities) SRA (2) : Training regulations (3 repeals); Insurance rules – minimum financial strength rating for participating insurers BSB/SRA/Illex Professional Standards – changes to the Quality Assurance Scheme for Advocates (Crime)
New applications	5		
Completed applications	3		
Open at end	2		
Exemptions	4		
Follow-up actions		Applications being considered (2) <ul style="list-style-type: none"> Master of Faculties Notaries Practice Rules [2014] and Notaries (Inspections) Rules [2014] CLSB Practising Rules (10.1 Insurance) 	
Open at start	4		
New from decision notices	0		
Due and closed	0		
Open at end – overdue – not yet due	0		
Performance Indicators		Exemptions (4 – plus 1 rules change application) <ul style="list-style-type: none"> Council for Licensed Conveyancers (CLC) Regulations for the appointment and service of Council members Bar Standards Board (BSB) Handbook – minor amendments SRA Quality Assurance Scheme for Advocates (Crime) BSB Rules for the Inns Conduct Committee 	
Applications - Acknowledged (2 days)	100%		
Published on website (2 days)	100%		
Decision in 28 days	3		
Decision in 90 days	0		
Average time to decision (days)	16		
Other Activity (x= nothing to report; √= action)			
Application to extend RLA	√	Applications to extend RLAs <ul style="list-style-type: none"> Lord Chancellor accepted the recommendation that the Chartered Institute of Legal Executives (CILEx) be designated as an AR for probate and reserved instrument activities New AR/LA <ul style="list-style-type: none"> Lord Chancellor accepted the recommendations that the Institute of Chartered Accountants in England and Wales (ICAEW) be designated as an AR and LA for probate activities Lord Chancellor accepted the recommendation that the Chartered Institute of Patent Attorneys (CIPA) and the Institute of Trademark Attorneys (ITMA) designated as a LA Practising Certificate Fees (section 51) The following application from the Bar Council/Bar Standards Board was approved	Applications to extend RLAs and New AR/LA <ul style="list-style-type: none"> Continue to work with AR and Ministry of Justice colleagues on the designation and related orders which will give effect to the designation recommendations for CILEx, ICAEW and CIPA/ITMA Other <ul style="list-style-type: none"> Work with MOJ and CLC colleagues on s69 order to modify CLC powers Work with MOJ and SRA colleagues on s69 order for sole practitioners
New AR application	√		
New LA application	√		
Cancellation of designation	X		
PCF approval	√		
Other	√		

Key: PCF = Practising Certificate Fee; AR = Approved Regulator; RLA = reserved legal activity; LA = licensing authority

Prepared by Dawn Reid Date: 01 April 2014