

Paper (12) 10 Appendix 3 - Regulatory Decisions October to December 2011 and Forward Look January to March 2012

Applications to change regulatory arrangements			
Applications		Key points	Forward look for January to March
Open at start	0	<p>Applications completed - NIL</p> <p>Applications Received and being considered</p> <ul style="list-style-type: none"> BSB Standard Contractual Terms: cab rank rule MOF Amendments to Notaries (Post Admission) Rules 2009 <p>Exemptions (7)</p> <ul style="list-style-type: none"> CLC Handbook – clarification that CLC can rely on old rules and guidance where necessary (e.g. disciplinary cases) CLSB Disciplinary Rules and Procedures – changes to membership of Conduct and Conduct Appeals Committees IPS Rights of Audience and Associate Prosecutors Rights of Audience and Litigation Certification Rules – changes to membership of Admissions and Licensing Committee CLC ABS Framework – changes resulting from Rehabilitation of Offenders Act Exemption Order 2011 SRA Handbook – amendments to reflect designation as a LA BSB Practising Certificate Rules – minor amendments Solicitors Disciplinary Tribunals (Appeals) (Amendment) Rules 2011 – changes resulting from SRA designation as a LA 	<p>Expected Applications/Exemptions</p> <ul style="list-style-type: none"> BSB (3): Changes arising from QASA; Aptitude Test; E&D reporting requirements SRA (4): Changes arising from QASA; Accreditation of prior learning; Higher Rights of Audience Regulations; Qualified Lawyer Transfer Regulations IPS (2): Changes arising from QASA; experience criteria for admission as a Fellow
New applications	2		
Completed applications	0		
Open at end	2		
Exemptions	7		
Follow-up actions			
Open at start	17		
New from decision notices	0		
Due and closed	6		
Open at end – overdue – not yet due	0 11		
Performance Indicators			
Acknowledged (2 days)	100%		
Published on website (2 days)	100%		
Decision in 28 days	-		
Decision in 90 days	-		
Average time to decision	-		
Other Activity (x= nothing to report; √= action)			
Application to extend RLA	√	<p>Applications to extend RLAs</p> <ul style="list-style-type: none"> Continued assessment of CLC application for designation for rights of audience and litigation <p>New AR/LA</p> <ul style="list-style-type: none"> ICAEW continue to develop their plans to submit an application for designation as an AR and LA BSB and IPReg are both developing applications for designation as a licensing authority <p>PCF Approvals</p> <ul style="list-style-type: none"> IPReg and CLSB 	<ul style="list-style-type: none"> Conclude assessment of CLC application to extend RLAs PCF applications: BSB (received and being considered)
New AR application	√		
New LA application	√		
Cancellation of designation	X		
PCF approval	√		
Other	X		

Key: PCF = Practising Certificate Fee; AR = Approved Regulator; RLA = reserved legal activity; LA = licensing authority

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