

Appendix 3 - Statutory Decisions October to December 2012 and Forward Look January to March 2013

| Applications to change regulatory arrangements | | | |
|---|--------|---|--|
| Applications | | Key points | Forward look for January to March |
| Open at start | 1 | <p>Applications completed (4)</p> <ul style="list-style-type: none"> Intellectual Property Regulation Board (IPReg) Litigators Accreditation Scheme Master of Faculties Notaries (Practising Certificate) Rules 2012 Solicitors Regulation Authority (SRA) Trainees Minimum Salary SRA Financial Services Scope and Conduct of Business Rules – Independent Financial Advisers <p>Applications being considered (1)</p> <ul style="list-style-type: none"> Costs Lawyer Standards Board (CLSB) – regulatory arrangements for trainee Costs Lawyers. A Warning Notice was issued on 17 December <p>Exemptions (3)</p> <ul style="list-style-type: none"> Council for Licensed Conveyancers (CLC) – various minor amendments to the CLC Handbook CLSB Training and Continuing Professional Development Rules SRA Compensation Fund | <p>Expected Applications/Exemptions</p> <ul style="list-style-type: none"> Bar Standards Board (BSB) (3) : Public Access Scheme; QASA; Code of Conduct (including entity regulation regime and Disciplinary Tribunal Rules) SRA (2): QASA; referral fees (personal injury cases) ILEX Professional Services (IPS) (5) Litigation Rights Certification Rules; Immigration Advisers Accreditation Scheme; experience criteria for admission as a Fellow (work based learning); QASA; Associate to Fellow |
| New applications | 4 | | |
| Completed applications | 4 | | |
| Open at end | 1 | | |
| Exemptions | 3 | | |
| Follow-up actions | | | |
| Open at start | 4 | | |
| New from decision notices | 0 | | |
| Due and closed | 0 | | |
| Open at end – overdue – not yet due | 4 | | |
| Performance Indicators | | | |
| Acknowledged (2 days) | 100 | | |
| Published on website (2 days) | 87.5%* | | |
| Decision in 28 days | 3 | | |
| Decision in 90 days | 1 | | |
| Average time to decision (days) | 34 | | |
| Other Activity (x= nothing to report; √= action) | | | |
| Application to extend RLA | √ | <p>Applications to extend RLAs</p> <ul style="list-style-type: none"> IPS submitted draft applications to the LSB for review <p>New AR/LA</p> <ul style="list-style-type: none"> The Institute of Chartered Accountants for England and Wales (ICAEW) has submitted its application for designation as an AR and LA BSB have submitted a draft application for designation as a LA for review IPReg continuing to develop applications for designation as a licensing authority. <p>Applications for PCF approval</p> <ul style="list-style-type: none"> IPReg's application for approval was granted Bar Council/BSB application is being considered | <p>Applications to extend RLAs and New AR/LA</p> <ul style="list-style-type: none"> With the Regulatory Standards Team, continue work with those bodies preparing new designation applications Assess ICAEW application CILEx/IPS applications for designation as for probate and reserved instrument activities (conveyancing) BSB application for designation as a licensing authority <p>Applications for PCF Approval</p> <ul style="list-style-type: none"> Complete BSB application |
| New AR application | √ | | |
| New LA application | √ | | |
| Cancellation of designation | X | | |
| PCF approval | √ | | |
| Other | X | | |

* One application published one day late due to freeze on web updates

Key: PCF = Practising Certificate Fee; AR = Approved Regulator; RLA = reserved legal activity; LA = licensing authority

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