| Date of Notice | Addressee | Title | Organisation |
|----------------|----------------|--|--------------------------------------|
| 16/12/2011 | Iain Stark | Chairman | Association of Costs Lawyers |
| 16/12/2011 | Mark Hatcher | Director of Representation | General Council of the Bar |
| 16/12/2011 | Mick Ralph | Chartered Institute of Patent Attorneys | Secretary |
| 16/12/2011 | Kevin Bader | Chief Executive | Institute of Trade Mark Attorneys |
| 16/12/2011 | Victor Olowe | Chief Executive | Council for Licensed Conveyancers |
| 16/12/2011 | Peter Beesley | Registrar | The Faculty Office |
| 16/12/2011 | Diane Burleigh | Chief Executive | Institute of Legal Executives |
| 16/12/2011 | Des Hudson | Chief Executive | The Law Society |
| 19/12/2011 | John Wotton | President | The Law Society |
| 22/12/2011 | N/A | | ILEX |
| 16/02/2012 | John Wotton | President | The Law Society |
| 26/04/2012 | John Wotton | President | The Law Society |

| 28/09/2012 | Des Hudson | Chief Executive | The Law Society |
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| | | Chair, Business & | |
| 10/01/2013 | David Fisher | Oversight Board | The Law Society |
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| | | Chair, Business & | |
| 10/01/2013 | David Fisher | Oversight Board | The Law Society |
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| 27/03/2013 | Maura McGowan | Chair | Bar Council |

| Overview of Request | Date Due | Notes (e.g. Extensions, related work) | Data entered by (Initials) |
|--|------------|---|-------------------------------------|
| Self-assessment form completion | 30/04/2012 | | СМ |
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| Self-assessment form completion | 30/04/2012 | | СМ |
| Requirement to provide "periodic information regarding the implementation and operation of the Business and Oversight Board". Report from IPS - IPS method for ensuring that "A.P.s it has authorised and regulated in the period 1 May 2010 to 20 April 2011 have met the requirements of the regulatory | See note | Regular reports required - final working day of each month, starting 21/01/2012. LS responded on 30/01/12 and 01/02/12, stating that it was unable to comply. | СМ |
| arrangements" | 31/07/2012 | | СМ |
| Relates to letter of 19/12; revokes previous notice; requires reports on delivery and implementation of LS / SRA proposals on governance, and papers relating to Business and Oversight Board | See note | Regular reports required - final working day of each month, starting 29/02/2012. Board papers to be provided within 24 hours of start of meeting at which tabled. | СМ |
| Relates to original request of 16/02; amends request to include details of, and comment on, "information requests {from LS to SRA] that have been considered inappropriate or disproportionate". | See note | As note from 16/02; starting 30/04/2012 | СМ |

| Relates to original request of 16/02. | | | |
|---------------------------------------|------------|--------------------------------|----|
| Terminates requirement to provide | | | |
| monthly reports; retains requirement | | Requirement ongoing until LSB | |
| to provide BOB papers. | See note | amends or revokes | CM |
| | | | |
| Statistical information on | | | |
| investigation and enforcement | | Notice of extension sent on | |
| processes of SRA, and management | | 18/01/2013, extending deadline | |
| information given to SRA Board | 18/01/2013 | for response to 25/01/2013 | CM |
| ABS Authorisation applications - | | Information to be provided on | |
| action on backlog and monitoring | | 15th of every month until | |
| spreadsheet | 15/01/2013 | further notice | СМ |
| | | | |
| Bar Council's involvement in the | | | |
| design and implementation of | | | |
| element of regulatory arrangements | | | |
| of BSB, relating to Cab Rank Rule / | | | |
| New Contractual Terms | 12/04/2013 | Received 15/04/2013 | CM |