

SRA Transparency Rules			
TRACKING DOCUMENT OF DERIVATION OF NEW RULES MAPPED TO EXISTING RULES			
Provision in new rules	Provision in current rules: SRA Code of Conduct 2011 (the Code)	Rationale for change (if needed)	Reason for any new rule
1.1	New		Response to CMA recommendation that consumers would benefit from more costs information upfront in order to be able to make informed choices
1.2	New		To make it clear these rules do not apply to legally aided clients
1.3	New		<p>These work areas were chosen for a number of factors, for example levels of price dispersion, services which are commonly used, and services where we know firms already have a price list or formula for calculating this (even if this is not published)</p> <p>Immigration was raised as an area that should require publication of prices in a consultation response, due to the vulnerable nature of clients and cultural and language barriers</p>
1.4	New		Small businesses have been identified as having a greater need for external business support but engagement with legal services providers remains limited. One barrier is the perceived cost effectiveness of lawyers as identified in the <a href="#">The legal needs of small businesses 2013-2017</a> for the Legal Services Board by BMG Research (2018))

1.5(a) & (b)	New but incorporates IB(1.14), O(8.2), IB(8.7) & IB(11.1) of the Code		
1.5(c)	New but reflects current IB(8.12) of the Code		
1.5(d)	New but reflects O(8.2) of the Code		
1.5(e)	O(8.2) of the Code		
1.5(f)	New but encompasses IB(8.9) of the Code		
1.5(g)	IB(1.17) of the Code but amended	More specific about telling clients when they would need to make payments out of damages	
1.6	New		New requirement to ensure price information is published in a clear and accessible way and prominent on their website – to improve access to legal services
2.1	New		New requirement to publish complaints handling procedure
3.1	IB(1.23) of the Code but amended		
4.1	New		

			New provision creating a digital badge so we verify SRA authorisation, incorporating O(8.5) of the Code
4.2	O(8.5) of the Code amended		
4.3(a)	New but reflects O(1.7) of the Code		
4.3(b)	IB(1.11) of the Code		
4.4	New		Reflects the new ways in which solicitors and RELs may practise