

<b>SRA Roll, Registers and Publication Regulations</b>			
<b>TRACKING DOCUMENT OF DERIVATION OF NEW RULES MAPPED TO EXISTING RULES</b>			
<b>Provision in new regulations</b>	<b>Provision in current rules or regulations – Solicitors Keeping of the Roll Regulations 2011 (KRs) SRA Practising Regulations 2011 (PRs) SRA Authorisation Rules 2011 (ARs)</b>	<b>Rationale for change (if needed)</b>	<b>Reason for any new regulations</b>
1.1(a)	2.1 of the KRs		
1.1(b)	11.1 of the PRs		
1.1(c)	12.1 of the PRs		
1.1(d)	13.1 of the PRs		
1.1(e)	34.1 of the ARs		
2.1	11.2, 12.2 and 13.2 of the PRs		
2.1(a)	11.2(a), 12.2(a) and 13.2(a) of the PRs		
2.1(b)	11.2(c), 12.2(c) and 13.2(c) of the PRs	Now referred to as 'authorisation number' not 'registration number.'	

2.1(c)	11.2(e), 12.2(f) and 13.2(e) of the PRs		
2.1(d)	11.2(f) of the PRs		
2.1(e)	11.3(b) of the PRs	This date on which a practising certificate has expired will be included on the register whereas under the current provisions it is only made available to the public on request	
2.1(f)	11.2(g), 12.2(h) and 13.2(g) of the PRs		
2.1(g)	New		New provisions to deal with the different types of organisations through which solicitors will be able to practise and to identify solicitors practising in accordance with regulation 10.2(a) or in the circumstances set out in regulation 10.2(b)(ii) to (vii) of the SRA Authorisation of Individuals Regulations
2.1(h)	11.2(h) of the PRs		
2.1(i)(i)	11.2(i), 12.2(i) and 13.2(i) of the PRs		
2.1(i)(ii)	11.2(j), 12.2(j) & 13.3(j) of the PRs	Removed reference to a note of any 'termination' of suspension	

2.1(i)(iii)	New		New provision to include any decision subject to publication under the SRA Regulatory and Disciplinary Procedure Rules
2.1(i)(iv)	11.2(k) 12.2(k) and 13.3(k) of the PRs		
2.1(i)(v)	New		New provision to include interventions on the register
3.1	34.2 of the ARs		
3.1(a)	34.2(a)(i) of the ARs		
3.1(b)	34.2(a)(i) of the ARs		
3.1(c)	34.2(e) of the ARs	Now UK not just England and Wales	
3.1(d)	34.2(f) of the ARs		
3.1(e)	34.2(a)(ii) of the ARs		
3.1(f)	34.2(c) of the ARs	Changed to 'trading styles used by the body' to 'practising styles used by the body'	
3.1(g)	34.2(a)(iii) of the ARs		
3.1(h)	New		New provision to include the prescribed categories of work that the body provides

3.1(i)	34.2(a)(iv) of the ARs		
3.1(j)	34.2(b) of the ARs		
3.1(k)(i)	34.2(l) of the ARs		
3.1(k)(ii)	34.2(m) of the ARs		
3.1(k)(iii)	New		New provision to include any decision subject to publication under the SRA Regulatory and Disciplinary Procedure Rules
3.1(k)(iv)	New		New provision to include any other order made by the Tribunal
3.1(k)(v)	New		New provision to include interventions on the register
3.2(a)	34.2(j) of the ARs		
3.2(b)	34.2(k) of the ARs		
3.2(c)	34.2(d) of the ARs		
4.1	11.2(l), 12.2(l) and 13.2(l) of the PRs		

4.2(a)	New		New provision for the SRA to keep and publish lists of orders made by the Tribunal or decisions made under the SRA Regulatory and Disciplinary Procedure Rules in respect of individuals who are not solicitors, RELs or RFLs
4.2(b)	11.2(k),11.3(b),12.2(k) of the PRs		
5.1	11.3(a), 12.3(a) and 13.3(a) of the PRs		
5.2	11.3(a),12.3(a) and 13.3(a) of the PRs		
5.3	New		New provision to allow the SRA to publish such further information or classes of information as it may consider in the public interest to do so