

Direction 14 issued under Part 3 of Schedule 4 to the Legal Services Act 2007 to the Solicitors Regulation Authority

1. This is a direction issued pursuant to paragraph 19(3) of Schedule 4 to the Legal Services Act 2007 (the “**Act**”).
2. Unless stated otherwise, words defined in the Act have the same meaning when used in this direction.
3. In accordance with paragraph 19(3) of Schedule 4 to the Act, the Board hereby directs that the following alterations to regulatory arrangements are exempt alterations for the purposes of paragraph 19(2)(c) of Schedule 4:
 - (a) Publication Policy
 - i. To reflect the publication policy as set out in Rule 11 of the SRA (Disciplinary Procedure) Rules 2010;
 - ii. To reflect changes brought about the Legal Services Act 2007, in particular, the regulation of entities and a broader range of individuals, including non-lawyer managers of legal disciplinary practices;
 - iii. To reflect the expansion of the new types of decisions that the Solicitors Regulation Authority can make; and
 - iv. To make minor consequential amendments to reflect changes in processes, including the Solicitors Regulation Authority’s experience of the policy’s experience to date.
 - (b) Reconsideration Policy
 - i. To reflect the reconsideration policy as set out in Rule 10 of the SRA (Disciplinary Procedure) Rules 2010; and
 - ii. To reflect the reconsideration requirements as set out in the Solicitors Regulation Authority’s Practising Regulations 2009.

A copy of the alterations was submitted to the Board on 11 October 2010. We have received confirmation from the Solicitors Regulation Authority that the above summary accurately summarises all of the alterations that they have requested.

4. This direction is to be deemed made on and to be effective from 26 October 2010.

For and on behalf of the Legal Services Board

26 October 2010